

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3518578
<b>Application Number:</b>	09815589
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7446
<b>Title of Invention:</b>	Exchange trading of mutual funds or other portfolio basket products
<b>First Named Inventor/Applicant Name:</b>	Gary L. Gastineau
<b>Customer Number:</b>	22930
<b>Filer:</b>	Michael Jay Stimson/Joan Gordon
<b>Filer Authorized By:</b>	Michael Jay Stimson
<b>Attorney Docket Number:</b>	00322.0007.CNUS01
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	2493
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Information Disclosure Statement Letter	SIDS.pdf	32514 8012b5ada404a8f5a36a070687047c844f4c4810	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Information Disclosure Statement (IDS) Filed	sb0008a2_fill.pdf	550193 1dd9efc304ef8bde51f0b45a2bcb682b16d5daca	no	2
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8197 1af4a20d273c8b3a2ec1d1904032e8ae4ea651f8	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			590904		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					